UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	01-18	Total Pages	39

First Named Inventor or Application Identifier

EATON et al.

Title	PATIENT INTERFACE AND HEADGEAR CONNECTOR

Express Mail Label No.	EV 196251561 US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

2. ☒ Specification [*Total Pages* 18]
(preferred arrangement set forth below)
-Descriptive Title of the Invention
-Cross References to Related Applications
-Statement Regarding Fed sponsored R & D
-Background of the Invention
-Brief Summary of the Invention
-Brief Description of the Drawings *(if filed)*
-Detailed Description
-Claim(s)
-Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. § 113) [*Total Sheets* 8]

4. ☒ Oath or Declaration [*Total Pages* 3]

    a. ☒ Newly executed (original or copy)

    b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
    (for continuation/divisional with Boxes 19 and 20 completed)
    [Note Box 5 below]

        i. ☐ <u>DELETION OF INVENTOR(S)</u>
        Signed statement attached deleting
        inventor(s) named in the prior application,
        see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

    c. ☐ Unsigned

5. ☐ Incorporation By Reference *(useable if Box 4b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☒ Application Data Sheet per 37 C.F.R. § 1.76

[*Total Pages* 2]

7. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 U.S.C. § 119(e)/120/365(c) based on the following provisional, nonprovisional, and/or PCT internation applications.

	Application No.	Filing Date
(1)	60/402,335	August 9, 2002
(2)		

8. ☒ Prior application is assigned to <u>Respironics, Inc.</u> by Assignment recorded <u>September 3, 2002</u> Reel <u>013239</u> Frame(s) <u>0233</u>.

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
(Please return recorded assignment to the undersigned)

10. ☐ 37 C.F.R. § 3.73(b) Statement   ☐ Power of Attorney
(when there is an assignee)

11. ☐ English Translation Document *(if applicable)*

12. ☒ Information Disclosure   ☒ Copies of IDS Citations
Statement (IDS)/PTO-1449

13. ☐ Preliminary Amendment *(to be entered before calculating fees)*

14. ☒ Return Receipt Postcard
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☒ Certificate of Mailing by Express Mail

17. ☐ Non-publication Request and Cert. under 35 U.S.C.
§ 122(b)(2)(B)(i) (attach copy of RI-186 Form (PTO/SB/35)).

18. ☐ Other: _____

19. **If a CONTINUING APPLICATION,** *check appropriate box and supply the requisite information:*
☐ Continuation   ☐ Divisional   ☐ Continuation-in-part (CIP) of prior application No. _____
Prior application Information: Examiner: _____ Group/ArtUnit: _____

20. Please amend the specification by inserting before the first line the sentence: This is a ☐ Continuation ☐ Divisional
☐ Continuation-in-part (CIP) of prior application No. _____

21. CORRESPONDENCE ADDRESS

Customer No. and Bar Code Label.

30031
PATENT TRADEMARK OFFICE

Name	Michael W. Haas	Reg. No.	35,174
Signature	*[signature]*	Date	July 29, 2003

RI-102 (Rev. 09/01)

FEE TRANSMITTAL
(Effective 01/01/2003)

Application Number	Not Yet Assigned
Filing Date	July 29, 2003
First Named Inventor	EATON et al.
Confirmation Number	Unknown
Group Art Unit	Unknown
Examiner's Name	Unknown
Attorney Docket No.	01-18

"Express Mail" Label No. EV 196251561 US

TOTAL AMOUNT OF PAYMENT $ 1,314.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: **50-0558**

Deposit Account Name: **Respironics, Inc.**

☒ Charge any additional fee required under 37 C.F.R. §§ 1.16, 1.17 1.19 and 1.20

☐ Charge the Issue Fee set forth in 37 C.F.R. § 1.18

2. ☒ Payment Enclosed:

Check (Check No. 1154)

FEE CALCULATION *(fees effective 10/01/00)*

1. FILING FEE

Large Entity Fee ($)		Small Entity Fee ($)		Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	750.00
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) $ 750.00

2. CLAIMS

		Extra Claims	Fee from Below	Fee Paid
Total Claims	42	- 20 * 22 x	18 =	396.00
Ind. Claims	5	- 3 * 2 x	84 =	168.00
Multiple Dependent Claims add			280 =	0.00

* Enter Highest Number Previous Paid For
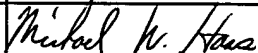
Large Entity Fee ($)		Small Entity Fee ($)		Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim
1204	84	2204	42	Reissue independent claims over original patent
1205	18	2205	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) $ 564.00

FEE CALCULATION *(continued)*

3. ADDITIONAL FEES

Large Fee Code	Entity Fee ($)	Small Fee Code	Entity Fee ($)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or declaration	
1052	50	2052	25	Surcharge - late provisional filing fee or or cover sheet	
1811	100	1811	100	Certificate of Correction	
1812	2,520	1812	2,520	For filing a request for reexamination	
576	25	576	25	Additional filing receipt, duplicate or corrected due to applicant error	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for response within first month	
1252	410	2252	205	Extension for response within second month	
1253	930	2253	465	Extension for response within third month	
1254	1,450	2254	725	Extension for response within fourth month	
1255	1,970	2255	985	Extension for response within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive unavoidably abandoned application	
1453	1,300	2453	650	Petition to revive unintentionally abandoned application	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1814	110	2814	55	Statutory Disclaimer	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Petitions related to provisional applications	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of property)	
1809	750	2809	375	Filing a submission after final rejection (37 C.F.R. § 1.129(a))	
1801	750	2801	375	Request for Continued Examination	

Other Fee (specify) _____

SUBTOTAL (3) $ 0.00

SUBMITTED BY

Typed or Printed Name	Michael W. Haas		Reg. Number	35,174
Signature	*Michael W. Haas*	Date	July 29, 2003	Deposit Account Number	50-0558

Certificate of Mailing by "Express Mail"

EV 196251561 US

"Express Mail" label number

July 29, 2003

Date of Deposit

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and is addressed to:

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P.O. Box 1450
Alexandria, VA 22313-1450.

Signature of person mailing correspondence

Michael W. Haas

Typed or printed name of person mailing correspondence

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